I. AUTHORITY

Ohio Revised Code 5120.01 authorizes the Director of the Department of Rehabilitation and Correction, as the executive head of the department, to direct the total operations and management of the department by establishing procedures as set forth in this policy.

II. PURPOSE

The purpose of this policy is to provide required Prison Rape Elimination Act (PREA) definitions, to establish the Ohio Department of Rehabilitation and Correction’s (ODRC) zero tolerance stance against prison sexual misconduct, and to provide guidance in prevention and tracking techniques for sexual misconduct directed toward incarcerated individual victims by staff persons or another incarcerated individual.

III. APPLICABILITY

This policy applies to all incarcerated individuals, persons employed by the ODRC, contractors, interns, and volunteers.

IV. DEFINITIONS

The definitions for the below listed terms can be found at the top of the policies page on the ODRC Intranet at the following:

- Abuser
- Agency PREA Coordinator
- Extended Restrictive Housing (ERH)
- Indecent Exposure
- Institution Operational Compliance Manager (OCM)
- LGBTI
- PREA Accommodation Strategy (PAS)
- PREA Accommodation Strategy Team (PAST)
- PREA Classifications
- PREA Risk Assessment System
V. POLICY

It is the policy of the ODRC to provide a safe, humane, and appropriately secure environment, free from the threat of sexual misconduct for all incarcerated individuals by maintaining a program of prevention, detection, response, investigation, and tracking. The ODRC shall maintain zero tolerance for sexual misconduct in its institutions and in any facilities with which it contracts for the confinement of incarcerated individuals. Sexual misconduct among incarcerated individuals and by employees, contractors, interns, and volunteers towards incarcerated individuals is strictly prohibited. All allegations of sexual misconduct and/or sexual harassment shall be administratively and/or criminally investigated.

VI. PROCEDURES

A. Zero Tolerance

1. Based on the elements of this policy, all institutions shall develop a local policy in accordance with Appendix A outlining their procedures for preventing, detecting, and responding to sexual misconduct.

2. In accordance with ODRC Policy 31-SEM-02, Standards of Employee Conduct, all employees shall be subject to disciplinary sanctions up to and including termination for violating ODRC sexual misconduct policies. Terminations for violations of ODRC sexual misconduct policy, or resignations by staff that would have been terminated if not for their resignation, shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and reported to any relevant licensing bodies.

3. In accordance with ODRC Policy 71-SOC-01, Recruitment, Training, and Supervision of Volunteers, and ODRC Policy 39-TRN-12, Contractor Orientation, any contractor, intern, or volunteer who engages in sexual misconduct is prohibited from contact with incarcerated individuals and shall be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies. The facility shall take appropriate remedial measures and terminate the contract or volunteer arrangement with contractors, interns, or volunteers or shall demand that the offending employee of a contractor be excluded from providing services under the contract. The facility may hire
or contract with an individual who would otherwise be prevented from such employment or contracting only if the Director: (1) determines that the individual does not pose a safety threat, based on considerations such as the length of time that has passed since the activity, the evidence of rehabilitation on the part of the individual, or other relevant factors, and documents all relevant factors and rationale leading to the safety threat determination; (2) considers the individual to be important to the success of a specialized incarcerated individual rehabilitative program; and (3) does not permit the individual to have contact with Incarcerated individual without staff supervision (i.e., circumstances where an individual would have the opportunity to potentially sexually abuse an incarcerated individual, due to the ability to privately interact with, or to supervise, incarcerated individual).

4. In accordance with ODRC Policy 56-DSC-01, Conduct Report and Hearing Officer Procedures, and Administrative Rule 5120-9-06, Incarcerated Individual Rules of Conduct, incarcerated individuals shall be subject to disciplinary sanctions following an administrative and/or criminal finding that the individual engaged in incarcerated individual-on-incarcerated individual sexual misconduct.

5. Criminal background checks shall be conducted on all employees, contractors, and interns in accordance with ODRC Policy 34-PRO-07, Background Investigations.

6. All employees who may have contact with incarcerated individuals shall complete a Prison Rape Elimination Act Annual Acknowledgement (DRC1214). The OCM shall ensure the PREA Annual Acknowledgement (DRC1214) is completed by all employees by December 31st of each year and forwarded to the Personnel Office. It is the responsibility of the OCM to ensure any positive responses on the PREA Annual Acknowledgement (DRC1214) are also forwarded to Legal Services for review. The Personnel Office shall maintain the documents in the employee’s personnel file. The following procedure must be adhered to for unionized employees:

   a. The questions on the PREA Annual Acknowledgement (DRC1214) shall be asked of the employee by the institution investigator, OCM, or other exempt employee designated by the managing officer (hereinafter referred to as the exempt designee).

   b. The exempt designee must ask the employee if they would like the questions read to them or if they prefer to read the form themselves and answer each question.

   c. The questions shall be asked (or read) in a confidential manner in a private setting which may include in person, virtually, or telephonically.

   d. Unionized employees shall be afforded the opportunity of having a union representative present when the questions are asked; however, they may choose to forgo the presence of a union representative.

   e. An Acknowledgement and Waiver of Right to Representation (DRC1311) shall be used to document the presence or the waiver of the presence of a union representative when the questions are asked.
36. Union employees shall be provided Garrity upon request and a Garrity Right (DRC2588) shall be completed by the exempt designee, the unionized employee, and the employee representative.

Healthcare staff shall not be utilized for this process as it is unrelated to healthcare.

7. All Lake Erie Correctional Institution (LAECI) employees who may have contact with incarcerated individuals shall complete a Self-Declaration of Sexual Abuse/Sexual Harassment (Form14-2H). The PREA operational compliance manager shall ensure the acknowledgement is completed by all employees by December 31st of each year and forwarded to the Personnel Office. It is the responsibility of the PREA operational compliance manager to ensure any positive responses on the Self-Declaration of Sexual Abuse/Sexual Harassment (Form-14-2H) are also forwarded to Legal Services for review. The Personnel Office shall maintain the documents in the employee’s personnel file. The Self-Declaration of Sexual Abuse/Sexual Harassment (Form-14 2H) shall be completed upon application for employment and as part of the promotion interview process.

8. All Northeast Ohio Correctional Center (NEOCC) employees who may have contact with incarcerated individuals shall complete a Self-Declaration of Sexual Abuse/Sexual Harassment (Form14-2H). The PREA operational compliance manager shall ensure the acknowledgement is completed by all employees by December 31st of each year and forwarded to the Personnel Office. The Personnel Office shall maintain the documents in the employee’s personnel file. The Self-Declaration of Sexual Abuse/Sexual Harassment (Form-14-2H) shall be completed upon application for employment and as part of the promotion interview process.

9. All new or renewed contracts for the confinement of ODRC incarcerated individuals must include a provision that the contractor will adopt and comply with PREA standards. In addition, any new contract or contract renewal shall provide for contract monitoring to ensure the contractor is complying with PREA standards.

B. Staffing Issues

1. In accordance with ODRC Policy 23-BUD-01, Staffing Requirements, and the PREA Staffing Plan (DRC1189) each institution shall develop, document, and make its best efforts to comply with a staffing plan that provides for adequate levels of staff and, where applicable, video monitoring, to protect incarcerated individuals against sexual misconduct. In calculating staffing levels and determining the need for video monitoring, the institutions shall consider:

a. Generally accepted correctional practices,

b. Any judicial, federal investigative and internal/external oversight agency findings of inadequacy,

c. The facility’s physical plant including blind-spots or areas where staff or incarcerated individuals may be isolated,

d. The composition of the incarcerated population,

e. The number and placement of supervisory staff,

f. Institution programs occurring on a particular shift,
The prevalence of substantiated and unsubstantiated incidents of sexual abuse, 
h. Applicable state or local laws, regulations, standards, or any other relevant factors.

2. If circumstances arise where the staffing plan is not complied with, the managing 
   officer’s designees must document and justify all deviations on the staffing plan 
   referenced in section VI.B.1 of this policy.

3. At least annually, the facility, in consultation with the agency PREA coordinator, shall 
   assess the staffing plan, the facility’s deployment of video monitoring technologies, and 
   the facility resources to determine if adjustments are needed. The appropriate regional 
   director and a representative from the Office of Administration shall also attend the 
   assessment meeting. This assessment shall be documented on the PREA Staffing Plan 
   (DRC1189). Copies of the PREA Staffing Plan (DRC1189) shall be forwarded to the 
   agency PREA coordinator, facility managing officer, appropriate regional director, and 
   the representative from the Office of Administration.

4. An annual PREA staffing plan assessment shall be completed by LAECI and NEOCC 
   whenever necessary, but no less frequently than once each year. The PREA operational 
   compliance manager will complete the Annual PREA Staffing Plan Assessment 
   (Form14-2I) and forward it to the warden/administrator for review. Upon completion of 
   the warden/administrator’s review, the Annual PREA Staffing Plan Assessment will be 
   forwarded to the Facility Support Center PREA compliance coordinator.

C. Employee Training

1. All new employees shall receive instruction related to the prevention, detection, response, 
   and investigation of sexual misconduct during New Employee Orientation (NEO) 
   training with the Corrections Training Academy (CTA). This training shall be 
   documented on the Ohio Corrections Training Academy NEO topic sheet (DRC1329). 
   This training shall include, but not be limited to, the following:

   a. ODRC policies that address the agency’s zero-tolerance for sexual misconduct, 
   b. The employee’s responsibilities regarding sexual misconduct prevention, detection, 
      reporting, and response policies and procedures, 
   c. The incarcerated individual’s right to be free from sexual misconduct, 
   d. The incarcerated individual’s and employee’s right to be free from retaliation for 
      reporting sexual misconduct, 
   e. The dynamics of sexual misconduct in confinement and the common reactions of 
      sexual misconduct victims, 
   f. How to avoid inappropriate relationships with incarcerated individuals, 
   g. Effective and professional communication with incarcerated individuals including 
      lesbian, gay, bisexual, transgender, intersex, or gender nonconforming incarcerated 
      individuals, 
   h. How to comply with relevant laws for mandatory reporting of sexual abuse to outside 
      authorities, and 
   i. How to detect and respond to signs of threatened and actual sexual abuse.

2. Each institution shall provide training on sexual misconduct annually during staff in- 
   service. Such training shall be tailored to the gender of the incarcerated individuals at
that facility. This training shall be completed and documented in the Ohio Learn System. The completion of any supplemental PREA training (e.g., classroom) shall be documented on the PREA Training Session Report (DRC1680). NEOCC shall document the training on the CoreCivic PREA Policy Acknowledgment and/or Training Acknowledgment (Form 14-2A) and the Training/Activity Attendance Roster (Form 4-2A).

3. All security staff shall be trained on how to conduct cross-gender pat-down searches and searches of transgender and intersex incarcerated individuals to ensure professionalism and to utilize the least intrusive manner possible consistent with security needs. This training shall be completed and documented in the Ohio Learn System. The completion of any supplemental PREA training (e.g., classroom) shall be documented on the PREA Training Session Report (DRC1680). NEOCC shall document the training on the CoreCivic PREA Policy Acknowledgment and/or Training Acknowledgment (Form 14-2A) and the Training/Activity Attendance Roster (Form 4-2A).

4. Employees who transfer to an institution that houses Incarcerated individual of a different gender shall receive training tailored to that gender of Incarcerated individual as part of their orientation training as mandated in ODRC Policy 39-TRN-10, Employee Orientation Training. Training shall be documented on the Orientation/OJT Program - Checklist Institutional Transfer (DRC1312).

5. Prior to conducting a PREA investigation, all investigators shall receive specialized training which shall include, but not be limited to, conducting investigations in confinement settings, interviewing techniques for sexual abuse victims, proper use of Garrity warnings, sexual abuse evidence collection and the criteria and evidence required to substantiate a case for administrative action or prosecution referral. This training shall be documented on the PREA Training Session Report (DRC1680). The training may be received through the National Institution of Corrections (NIC). Completion of the training shall be documented with a certificate of completion. NEOCC shall document the training on the CoreCivic PREA Policy Acknowledgment and/or Training Acknowledgment (Form 14-2A) and the Training/Activity Attendance Roster (Form 4-2A).

6. All routine volunteers, special event volunteers (as defined by ODRC Policy 71-SOC-01), Long Term Contractors and Non-Escorted Contractors (as defined by ODRC Policy 39-TRN-12) who have contact with incarcerated individuals shall be notified of ODRC’s zero-tolerance regarding sexual misconduct and how to report such incidents. All contractors, interns, and volunteers shall also be trained on their responsibilities regarding sexual misconduct prevention, detection, and response. The level and type of training shall be based on the services they provide and the level of contact they have with incarcerated individuals. All training shall be documented on the PREA Contractor/Volunteer/Intern Training Acknowledgment (DRC1173).

7. Annual PREA refresher training shall be completed by all contractors who provide services at prisons that are equivalent to that of full-time staff (i.e., medical, behavioral health, Aramark, etc.). This training shall be documented on the PREA Contractor/Volunteer/Intern Training Acknowledgment (DRC1173).
8. Behavioral Health Operations (BHO) shall provide or coordinate training to assure that each institution employs or has access to the services of a mental health professional who has a scope of practice, training and/or experience in trauma counseling.

9. Specialized training shall be offered periodically through the Operation Support Center (OSC) to employees designated as victim support persons including, but not limited to, victim services coordinators and chaplains. Each institution shall have at least one (1) trained victim support person. Only employees that voluntarily agree to act as a victim support person shall be utilized in that capacity.

10. All full and part-time medical and behavioral health staff and contractors shall receive specialized training to include, but not be limited to:

   • How to detect and assess signs of sexual misconduct,
   • How to preserve physical evidence of sexual abuse,
   • How to respond effectively and professionally to victims of sexual misconduct, and
   • How and to whom to report allegations or suspicions of sexual misconduct.

   a. Full-time and part-time medical and behavioral health staff shall complete the Specialized Medical and Mental Health Training on the Learning Management System (LMS).

   b. Medical and behavioral health contractors shall document the completion of the Specialized Medical and Mental Health Training on the PREA Training Session Report (DRC1680). Medical and behavioral health contractors taking the training are also required to complete and pass the test for the training.

   c. Full-time and part-time medical and mental health staff at LAECI shall initially complete the Specialized Medical and Mental Health Training in class and pass a written test. Completion of the course shall be documented on the PREA Training Session Report (DRC1680) and electronically in the CoreCivic Learning Management System (LMS). Annually, thereafter, full-time and part-time medical and mental health staff shall complete the Specialized Medical and Mental Health Training on the CoreCivic LMS and pass a written test. All written tests shall be graded and maintained in the employee training file.

   d. Full-time, part-time, and contract medical and mental health staff at NEOCC shall initially complete the Specialized Medical and Mental Health Training in class and pass a written test. Initial completion of the course shall be documented on the CoreCivic PREA Policy Acknowledgment and/or Training Acknowledgment (Form 14-2A) and the Training/Activity Attendance Roster (Form 4-2A) and electronically in the CoreCivic LMS. Annually, thereafter, full-time and part-time medical and mental health staff shall complete the Specialized Medical and Mental Health Training on the CoreCivic LMS and pass a written test. All written tests shall be graded and maintained in the employee training file.

   e. Full-time and part-time medical and mental health staff at the North Central Correctional Complex (NCCC) shall initially complete the Specialized Medical and
Mental Health Training in class and pass a written test. Completion of the course shall be documented on the PREA Training Session Report (DRC1680) and electronically in the Management and Training Corporation ACADIS System. All written tests shall be graded and maintained in the employee training file.

11. Additional and specialized training may be offered to appropriate employees at individual institutions or through CTA or OSC.

12. The CTA superintendent shall approve all lesson plans or materials utilized for orientation, in-service, or contractor training on incarcerated individual sexual misconduct.

D. Incarcerated individual Education

1. Oral and written information (incarcerated individual handbook) shall be given to all incarcerated individuals upon their arrival at a reception center or parent institution which explains ODRC’s zero tolerance policy regarding sexual misconduct and shall include:
   a. Prevention,
   b. Self-protection,
   c. Reporting, and
   d. Treatment and Counseling.

2. Within seven (7) calendar days of arrival at a reception center or parent institution, all incarcerated individuals shall be provided comprehensive education through the viewing of the PREA education video. The PREA education video shall inform the incarcerated individual of their rights to be free from sexual abuse, sexual harassment, and retaliation for reporting such incidents. The PREA education video shall also include the ODRC policies and procedures for responding to such incidents.

3. When an incarcerated individual arrives at a reception center, which is also their parent institution, they are only required to receive the education outlined in VI.D.1 and VI.D.2 above as a reception incarcerated individual. The education is not required to be provided again once the reception center becomes their parent institution.

4. The individual’s participation in the orientation and education sessions listed in the above sections of this policy shall be documented on the Incarcerated Individual Orientation Checklist (DRC4141).

5. The institution OCM shall ensure that information is continuously and readily available using materials such as posters, handbooks, etc. At a minimum, the incarcerated individual reporting posters identifying the hotline numbers and the local rape crisis center posters (if available) shall be posted in all housing units, restrictive housing units, receiving and discharge department, medical, behavioral health, and the library. Posters for third party reporting (i.e., family and friends) shall be posted in all visitation areas and front entry buildings.

6. All materials provided to incarcerated individuals about sexual misconduct and any lesson plans used during incarcerated individual orientation on this topic shall be approved by the agency PREA coordinator.
7. Staff shall make appropriate provisions for incarcerated individuals not fluent in English, those with low literacy levels, and those with disabilities that hinder their ability to understand the information in the manner provided pursuant to section VI.D.1-3 of this policy. In accordance with ODRC Policy 64-DMC-02, Incarcerated Individuals with Disabilities, the agency PREA coordinator shall ensure those with disabilities have an equal opportunity to participate in or benefit from all aspects of ODRC’s efforts to prevent, detect, and respond to sexual misconduct. An incarcerated individual interpreter, reader, or other assistant shall not be used except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the individual’s safety, the performance of first-response duties, or the investigation of their allegations.

E. Prevention

1. As set forth in ODRC Policy 79-ISA-04, PREA Risk Assessments and Accommodation Strategies, all incarcerated individuals shall be screened and assessed upon admission to ODRC and for all subsequent intra-system transfers for their risk of being a victim of sexual abuse or their likelihood of committing sexual abuse. All other incarcerated individuals that have not been screened shall be assessed during their annual security review. As a result of these screenings, incarcerated individuals shall be assigned a PREA classification. The UMC, or in their absence, the acting UMC, shall make appropriate housing assignments based upon PREA classifications by using a PREA accommodation strategy. The PREA accommodation strategy team (PAST) shall make appropriate housing assignments for transgender and intersex incarcerated individuals.

2. All institutions shall ensure incarcerated individuals are able to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia except in exigent circumstances or when such viewing is incidental to routine cell checks, which includes viewing via video camera.

3. Opposite Gender Announcements

   a. All employees, contractors, interns, and volunteers of the opposite-gender, whether assigned to the unit or not, shall make the following announcement upon their arrival in a housing unit: “Opposite gender in housing unit.” If at any time the employee leaves and returns to the housing unit, the preceding announcement shall be repeated. The announcement is only required when an opposite-gender employee, contractor, or volunteer enters a housing unit where there is not already another opposite-gender employee present. The institution shall determine how to make employees aware that an opposite-gender staff is already in the housing unit. If opposite gender staff remain in the unit during shift change, the announcement shall always be made at the beginning of each shift.

   b. All institution health service departments, Frazier Health Center (FHC) and Franklin Medical Center (FMC) Zone A shall only announce once at the beginning of each shift. No additional announcements shall be required as the incarcerated individual handbook shall inform population that opposite gender medical staff are in these units at all times.
c. When the PREA buzzer at the entrances of each housing unit is utilized, the verbal announcement of opposite gender staff is not necessary. It is replaced with the activation of the audible sound, which must have the ability to be heard at the farthest point within the housing unit. The only exceptions will be from 10:00 pm to 8:00 am at which time the verbal announcement shall be made instead of the use of the PREA buzzer.

4. The institution OCM and the victim support person shall compile mailing addresses and telephone numbers including toll-free hotline numbers of local, state, or national victim advocacy or rape crisis organizations. This information shall be provided to the unit staff for communication to the incarcerated individual. Incarcerated individuals must be notified that telephone calls are not confidential.

5. The agency PREA coordinator, with assistance of staff from the Office of Victim Services (OVS), shall attempt to identify rape crisis centers that can provide victim advocate services. All efforts to secure services from rape crisis centers shall be documented. In addition, the agency PREA coordinator, with assistance from OVS, shall maintain or attempt to enter into memorandum of understandings or other agreements with community service providers that are able to provide incarcerated individuals with confidential emotional support services related to sexual abuse. Calls made by the incarcerated individual to community service providers may be subject to monitoring. Community service providers shall follow mandatory reporting rules governing privacy, confidentiality, and/or privilege that apply for disclosures of sexual abuse made to outside victim advocates, including any limits to confidentiality under relevant federal, state, or local law.

If a victim advocate from a rape crisis center is not available to provide victim advocate services, the institution shall make available a victim support person to provide these services. The victim support person supports a victim of sexual misconduct which may include: (1) accompanying the victim to the hospital; (2) supporting the victim through the forensic medical examination and investigatory interview; and/or (3) providing emotional support, crisis intervention, information, and referrals. ODRC is responsible for providing support to a victim of sexual misconduct. This is not the responsibility of the Ohio State Highway Patrol.

6. When designing or acquiring any new facility and in planning any substantial expansion or modification of existing facilities, ODRC shall consider the effect of the design, acquisition, expansion, or modification upon the agency’s ability to protect incarcerated individuals from sexual abuse. This consideration must be documented in written form and forwarded to the agency PREA coordinator and appropriate regional director. LAECI and NEOCC shall document such considerations on the PREA Physical Plant Considerations (Form 7-1B).

7. When installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology, ODRC shall consider how such technology may enhance the agency’s ability to protect incarcerated individuals from sexual abuse. This consideration must be documented in written form and forwarded to the agency PREA coordinator and appropriate regional director. LAECI and NEOCC shall document such considerations on the PREA Physical Plant Considerations (Form 7-1B).
F. Data Collection and Monitoring

1. The institution investigators shall report allegations of sexual misconduct they investigated on their monthly reports, along with the dispositions of same. This information shall also be provided to the Bureau of Research and Evaluation for compilation and analysis.

2. A meeting shall be held quarterly with the managing officer, institution investigator(s), and OCM to discuss PREA issues/concerns. This PREA Status Briefing will include the following topics at a minimum:
   
   a. PREA investigations,
   b. Retaliation concerns,
   c. Physical plant/camera concerns,
   d. PREA trends,
   e. Staffing concerns,
   f. Policy and practice, and
   g. Other PREA related concerns.

   This meeting shall be documented on the Quarterly PREA Status Briefing (DRC3171) and forwarded to the agency PREA coordinator and PREA compliance administrator no later than the 15th day after each quarter (January 15th, April 15th, July 15th, October 15th).

3. The institution investigators shall ensure all fields in the PREA Incident Reporting System as provided by the agency PREA coordinator are accurately completed. This data shall be aggregated at least annually.

4. The agency PREA coordinator and other employees as designated by the Director shall review the aggregated data detailed in section VI.F.2 of this policy for the purposes of:

   a. Identifying problem areas,
   b. Taking corrective action on an ongoing basis, and
   c. Preparing an annual report of ODRC’s findings and corrective actions for each facility and for the agency as a whole.

5. The report identified in section VI.F.3.c of this policy shall include a comparison of the current year’s data and corrective actions with those from prior years and shall provide an assessment of ODRC’s progress in addressing sexual misconduct. The report shall be approved by the Director and posted on the ODRC Internet site. Any information redacted from the report due to a clear and specific threat to the safety and security of the facility must indicate the reason for redaction.

6. The agency PREA coordinator/designee must ensure all aggregated sexual misconduct data received from private facilities with which it contracts is readily available to the public at least annually through the ODRC internet site.

7. All personal identifiers must be removed from publicly available data referenced in sections VI.F.4 and VI.F.5 of this policy.
8. When any incarcerated individual is found guilty by the rules infraction board (RIB) or serious misconduct panel (SMP) of Rules 11 or 12, sexual misconduct, information related to the case shall be entered into DOTS Portal Incarcerated Individual Assault Report by the managing officer’s designee. This will generate a visual alert on certain highly used DOTS Portal screens, identifying the abuser and the victim. These visual identifiers shall be used in conjunction with assigned PREA classifications from the PREA assessment system to track verified abusers and victims.

9. All case records associated with allegations of sexual misconduct or retaliation including incident reports, investigation reports, offender information, case disposition, medical and counseling evaluation findings, and recommendations for post-release treatment and/or counseling shall be securely retained in accordance with the ODRC records retention schedule as defined in ODRC Policy 07-ORD-01, Records Management Program.

Attachments:

Institution Zero Tolerance Local Policy Template

Referenced ODRC Policies:

- 07-ORD-01 Records Management Program
- 23-BUD-01 Staffing Requirements
- 31-SEM-02 Standards of Employee Conduct
- 34-PRO-07 Background Investigations
- 39-TRN-10 Employee Orientation Training
- 39-TRN-12 Contractor Orientation
- 56-DSC-01 Conduct Report and Hearing Officer Procedures
- 64-DMC-02 Incarcerated Individuals with Disabilities
- 71-SOC-01 Recruitment, Training, and Supervision of Volunteers
- 79-ISA-04 PREA Risk Assessments and Accommodation Strategies

Referenced Forms:

- PREA Contractor/Volunteer/Intern Training Acknowledgment [DRC1173]
- PREA Staffing Plan [DRC1189]
- Prison Rape Elimination Act Annual Acknowledgement [DRC1214]
- Acknowledgement and Waiver of Right to Representation [DRC1311]
- Orientation/OJT Program- Checklist Institutional Transfer [DRC1312]
- PREA Training Session Report [DRC1680]
- Garrity Right [DRC2588]
- PREA Status Briefing [DRC3171]
- Incarcerated individual Orientation Checklist [DRC4141]
- PREA Physical Plant Considerations 7-1B
- Self-Declaration of Sexual Abuse/Sexual Harassment 14-2H
- Annual PREA Staffing Plan Assessment 14-2I
I. Authority

This policy is issued in compliance with Ohio Revised Code 5120.38 which delegates to the Managing Officer the authority to manage all aspects of the institution.

II. Purpose

The purpose of this policy is to establish the facility’s procedures for preventing, detecting, and responding to sexual misconduct. This plan shall be used as a supplement to ODRC Policy 79-ISA-01, Prison Rape Elimination.

III. Applicability

This policy applies to all persons employed by the Ohio Department of Rehabilitation and Correction (ODRC), incarcerated individuals, volunteers, interns, and contractors.

IV. Definitions

Insert any necessary definitions of prohibited behaviors regarding sexual misconduct. Also include PREA compliance manager definition. Ensure all definitions are consistent with the ODRC Policy 79-ISA series.

V. Policy

It is the policy of the (Facility Name) to implement ODRC’s zero tolerance policy of preventing, detecting, and responding to sexual misconduct.

VI. Procedures

A. Sexual Misconduct Prevention Procedures

(Facility name) will implement the agency’s zero tolerance toward sexual misconduct prevention as outlined below: List how your facility will implement PREA standards for sexual misconduct prevention (i.e., education of incarcerated individuals, pamphlets, town hall sessions, education of staff, meetings, training, etc.). Include how the facility will communicate the plan to population
and staff. Refer to ODRC policy 79-ISA-01, Prison Rape Elimination, for the agency’s zero tolerance approach; however, do not copy the exact language. Be specific and detailed in your local policy.

B. Sexual Misconduct Detection Procedures

(Facility name) will implement the agency’s zero tolerance toward sexual misconduct detection procedures as outlined below: List how your facility will implement PREA standards for sexual misconduct detection. How will you educate incarcerated individuals on being safe and staying out of questionable situations? How will the facility educate staff on awareness and signs of incarcerated individuals that are possibly involved in sexual misconduct (i.e., victim and/or predatory incarcerated individuals)? How will the facility communicate the plan to population and staff? Be specific and detailed in your local policy.

C. Responding to Report of Sexual Misconduct

(Facility name) will implement the agency’s zero tolerance toward sexual misconduct response as outlined below: List how your facility will implement PREA standards for responding to a report of sexual misconduct. What is the responsibility of shift, victim support person, behavioral health, medical and external factors if there are any? How will facility communicate the plan to population and staff? Refer to ODRC Policy 79-ISA-02, Prison Sexual Misconduct Reporting, Response, Investigation, and Prevention of Retaliation, for the agency’s zero tolerance approach to responding to a report of sexual misconduct; however, do not copy the exact language. Be specific and detailed in your local policy.