



2017 ANNUAL INTERNAL  
REPORT ON SEXUAL  
ASSAULT DATA  
OHIO DEPARTMENT OF  
REHABILITATION AND  
CORRECTION

Abstract

AN ANALYSIS OF 2015-2016  
SEXUAL ASSAULT DATA

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## **I. Introduction**

Each year, the Ohio Department of Rehabilitation and Correction (ODRC) collects accurate, uniform data for every allegation of sexual abuse and completes the Survey of Sexual Violence (SSV) report. The SSV report (attached) provides information on every allegation of inmate on inmate and staff on inmate sexual abuse and is posted on the DRC Internet to make available to the public. The following analysis is ODRC's annual internal report that targets confirmed inmate on inmate and staff on inmate sexual abuse incidents. This report provides a comparison of incidents from 2015 and 2016 and will be utilized by the DRC PREA Coordinator to identify problem areas and formulate corrective measures in efforts of reducing future incidents of sexual abuse. ODRC's adult correctional system became fully PREA certified in June 2016 with all 27 correctional facilities successfully completing their initial PREA audit. In 2017 ODRC contracted with North East Ohio Correctional Complex to house 800 offenders. NEOCC was PREA certified in 2016.

## **II. Data**

The table attached to this report (**ODRC Sexual Assault Data 2015-2016 By Facility**) provides the number of confirmed Staff on Inmate Contact Sexual Assaults and confirmed Inmate on Inmate Contact Sexual Assaults. The focus of this analysis will be primarily by comparing the 2015 and 2016 statistics.

Inmate on inmate contact assaults decreased from 17 cases in 2015 to 11 cases in 2016. All three female facilities saw a decrease in inmate on inmate sexual assaults. Additionally, both Northeast Reintegration Center and the Ohio Reformatory for Women showed a decrease in staff on inmate sexual assaults. It is relevant to note that of the 11-confirmed inmate on inmate sexual assaults from 2016, only one occurred at a female facility.

Several cases involved inappropriate touching or physical contact with offenders and not actual violent sexual assault. Female facilities are elevated targets for increased PREA surveillance strategies and continued saturation of sexual assault education for offenders. PREA implementation efforts have definitely resulted in our abilities to substantiate more cases specifically with the additional avenues provided for anonymous reporting and improved investigative tools. Proactive staff activities aimed to reduce incidents of staff on inmate sexual assault in all our facilities must continue, especially within female institutions.

Again, the characteristics of these incidents are not typically consistent with physical non-consensual sexual acts. Nearly all cases within this category involved inappropriate or unwanted physical contact (i.e. touching) rather than sexual intercourse activities. Examining the numbers within security levels and facility types, outside of maximum security single-celled locations, there were no significant differences between celled vs. dormitory environments. Security level did not appear to be a factor either. Six of the 11 cases at male facilities occurred at level 1/2 facilities; four occurred at level 3 prisons. Only one case out of the 11 total cases involved a female offender.

**III. Problem Area Identification and Corrective Measures:** Substantiated cases involving either inmate on inmate or staff on inmate incidents are thoroughly investigated and determined to be substantiated or unsubstantiated at the institution level. Findings and corrective actions for each facility with substantiated and unsubstantiated cases are also reviewed individually by the Agency PREA Coordinator and assigned PREA Audit Administrators. Each case's findings and corrective measures are shared directly with each facility's Operational Compliance Manager.

- **Location of Incidents:** Incidents of staff on inmate contact assaults are higher within female facilities.

**Corrective Measures:** The Regional PREA Administrators will review reported incidents and work with facility staff to identify plans of action, educational opportunities and conduct quality assurance reviews of Sexual Abuse Review Team activities. Regional administrators will continue to work with Institutional Investigators to address PREA investigation issues.

- **Contractor & Program Provider Targeting:** A large percentage of staff on inmate contact assaults involved a contractor or program provider.

**Corrective Measures:** PREA Administrator facility assessments will include a review of facility efforts with improving educating any contractors and/or other types of staff who have regular contact with offenders. Additionally, facility PREA assessments will make sure that areas with contractors (i.e. Aramark food service) are being effectively monitored for inappropriate activities (i.e. video surveillance).

- **Anonymity of Reporting by Phone:** One of the reporting mechanisms for PREA related concerns is a phone number for an outside reporting agency. It was brought to our attention by a PREA auditor that our system did not provide anonymity for a reporting offender due to the requirement to enter his/her PIN number for all outgoing calls.

**Corrective Measures:** The Outside Reporting Agency phone number (614-728-3155) has been deactivated and inmates are now required to dial \*89 to report allegations of sexual misconduct to the Outside Reporting Agency. Inmates are NOT required to utilize their pin number to place a call to the Outside Reporting agency. The inmate may now simply dial\*89 and follow the prompts.

- **PREA Assessment & Classification Processes:** Some offenders have been found to have had an improper PREA classification.

**Corrective Measures:** The PREA Coordinator and/or assigned Regional PREA Administrators will be monitoring PREA classification decisions more closely. Facilities will be required to conduct a systemic review of their PREA classified offenders to ensure proper application of PREA classifications. Operational Compliance Managers and BOC PREA staff are better able to closely monitor special assessments during investigations with the availability of the PREA Incident System. ODRC's internal management audits

review additional aspects of the PREA classification processes, to include the newly implemented PREA DOTS flag and the appropriate classification of LGBTI offenders.

4. **ACA and PREA Audits:** Audit cycles for ACA and PREA conflict and pose a potential issue if a facility is given a corrective action.

**Corrective Measures:** The BOC is considering separating the PREA audit from the ACA audit to accommodate a potential 6-month correction period. PREA audits would need to be conducted between the months of September and February to ensure corrective measures could be met in the event a facility was given a six-month corrective period.

#### IV. **Conclusion: Continued Monitoring, Improvements, and Looking Forward**

The data presented for 2016 indicating a decrease in the number of Inmate on Inmate sexual assaults and is a positive indicator for reducing sexual assault in ODRC. However, it is uncertain whether the same trend will appear in next year's data. The PREA audit enforcement process continues to evolve and, as a result, assault case identification practices continue to improve as well. Contributing factors to identifying more cases are not inclusive to audit enforcement processes. Additional factors are the continued education and anonymous reporting methods for staff and offenders, increased PREA involvement by multiple staff layers due to improved information technology access and enhanced compliance monitoring strategies by the Bureau of Operational Compliance. Such efforts are also working to change the culture of more traditional thinking by not only making reporting sexual assault more acceptable and reducing fear of retaliation, but also embedding safer management strategies of PREA classified offenders within ODRC facilities.

#### **Systemic Improvements**

Continued improvements within many facets of ODRC PREA compliance efforts were achieved during 2017. Most notably, BOC continues to work with the Information Technology department to improve the PREA Incident Reporting and Assessment system; making the system more user friendly and informative to facility staff as well as BOC staff. Various stakeholders may evaluate PREA case investigations and after incident review processes at any time. This system also improves the quality of records being retained and providing expedient access to the information. A final notable improvement deals with balancing the workload of conducting PREA investigations.

#### **Looking Forward**

Corrective measures from each year are evaluated during the annual report process. Some areas of focus for this year include:

1. The PREA classification assessment system and PREA classified offender management strategies must continue to be proactively monitored and reviewed for accuracy on a more regular basis.
2. In September of this year, ODRC and the Department of Youth Services will enter a shared service model which will task the Bureau of Operational Compliance with oversight of the DYS accreditation process. DYS provides ACA and PREA accreditation services to 3 youth

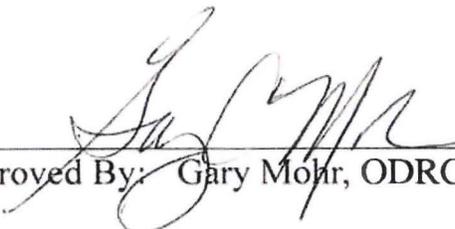
prisons and 17 community correctional facilities. Our bureau will need to prepare for this merger by working with the staff of DYS to gain knowledge of their audit processes.

3. Because of the shared services undertaking, the possibility of creating a separate PREA Coordinator has been discussed. This will insure that proper emphasis is placed on PREA and the span of control for supervision is manageable.
4. Similarly, the BOC is considering separating ACA audits from PREA audits to be able to accommodate a "corrective" period in the event there was a finding of non-compliance. The PREA cycle runs from August 20- August 19. With our current audit cycle, we could not accommodate a corrective period within those dates.
5. Several PREA issues were revised/enhanced within the ODRC internal management audit process to improve certain issues. This effort was successful with implementing into the audit process, however follow up monitoring of recommendations from OSC level after-incident reviews is needed. A follow up system to evaluate recommendations from substantiated assault case reviews and/or noncompliant internal audit findings will be incorporated into the internal management audit system for 2017.

The Bureau of Operational Compliance internal support efforts, including the internal management audit process, are also critical ingredients for DRC's continued PREA audit success. The above overview, findings, and recommendations will serve as a primary guide for ODRC's continued PREA efforts for 2018.

 3/30/18

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### ODRC Sexual Assault Data 2015-2016 By Facility

Facility	Security Level	Staff on Contact Assault	Inmate Sexual	Inmate on Confirmed Sexual Assault	Staff on Contact Assault	Inmate Sexual	Inmate on Confirmed Sexual Assault
		2016	2016	2015	2015		
AOCI	1/2	0	1	1	0		
BECI	1/2	1	1	2*	1		
CCI	1/2	0	0	0	1		
CRC	3	0	0	0	0		
DCI**	1/ 2/ 3	0	1	1	4		
FMC***	1-4	0	0	0	0		
GCI	1/2	0	0	0	0		
LECI	3	0	0	0	1		
LOCI	1/ 2	0	1	0	1		
LORCI	3	0	1	2	0		
MACI	1/2/3	0	2	0	0		
MANCI	3	0	3	0	1		
MCI	1/ 2	0	0	0	1*		
NCI	1/ 2	0	0	0	0		
NERC**	1/2	0	0	0*	4*		
ORW**	1/ 2	4	0	5	2*		
OSP	4/ 5	0	0	0	0		
PCI	1/ 2	1	0	0	0		
RICI	1/ 2	2	0	0	1		
RCI	3	0	0	0	0		
SCC	1/ 2	2	1	0	0		
SOCF	4/ 5	0	0	0	0		
TOCI	4	1	0	0	0		
TCI	3	0	0	0	0		
WCI	3	1	0	1	0		
<b>TOTAL</b>		<b>17</b>	<b>11</b>	<b>14</b>	<b>17</b>		

\* adjusted from SSV report

\*\* denotes female facility

\*\*\* house both male and female offenders

## Privately Operated Facilities Housing Ohio Offenders PREA Incident Information for Calendar Year 2016

**LAKE ERIE CORRECTIONAL INSTITUTION**, 501 Thompson Road, Conneaut, Ohio 44030  
(CoreCivic – Nashville, Tennessee)

***Sexual Misconduct Cases***

**Inmate on Inmate**

<b>Nonconsensual Sexual Acts</b>	<b>Total 4</b>	Substantiated	0	Unsubstantiated	4	Unfounded	0
<b>Inmate on Inmate Abusive Sexual Contacts</b>	<b>Total 6</b>	Substantiated	0	Unsubstantiated	6	Unfounded	0
<b>Staff Sexual Misconduct</b>	<b>Total 2</b>	Substantiated	0	Unsubstantiated	2	Unfounded	0

***Sexual Harassment Cases***

<b>Inmate on Inmate</b>	<b>Total 0</b>	Substantiated	0	Unsubstantiated	0	Unfounded	0
<b>Staff on Inmate</b>	<b>Total 0</b>	Substantiated	0	Unsubstantiated	0	Unfounded	0

**NORTH CENTRAL CORRECTIONAL COMPLEX**, 670 Marion Williamsport Road, Marion, Ohio 43302  
(Management & Training Corporation – Centerville, Utah)

***Sexual Misconduct Cases***

**Inmate on Inmate**

<b>Nonconsensual Sexual Acts</b>	<b>Total 0</b>	Substantiated	0	Unsubstantiated	0	Unfounded	0
<b>Inmate on Inmate Abusive Sexual Contacts</b>	<b>Total 2</b>	Substantiated	1	Unsubstantiated	0	Unfounded	1
<b>Staff Sexual Misconduct</b>	<b>Total 1</b>	Substantiated	0	Unsubstantiated	1	Unfounded	0

***Sexual Harassment Cases***

<b>Inmate on Inmate</b>	<b>Total 3</b>	Substantiated	3	Unsubstantiated	0	Unfounded	0
<b>Staff on Inmate</b>	<b>Total 2</b>	Substantiated	1	Unsubstantiated	1	Unfounded	0

**Northeast Ohio Correctional Center**, 2240 Hubbard Road Youngstown OH 44505  
(CoreCivic – Nashville, Tennessee)

***Sexual Misconduct Cases***

**Inmate on Inmate**

<b>Nonconsensual Sexual Acts</b>	<b>Total 0</b>	Substantiated	0	Unsubstantiated	0	Unfounded	0
<b>Inmate on Inmate Abusive Sexual Contacts</b>	<b>Total 4</b>	Substantiated	1	Unsubstantiated	3	Unfounded	0
<b>Staff Sexual Misconduct</b>	<b>Total 0</b>	Substantiated	0	Unsubstantiated	0	Unfounded	0

***Sexual Harassment Cases***

<b>Inmate on Inmate</b>	<b>Total 2</b>	Substantiated	1	Unsubstantiated	1	Unfounded	0
<b>Staff on Inmate</b>	<b>Total 0</b>	Substantiated	0	Unsubstantiated	0	Unfounded	0