

SERIOUS MISCONDUCT IN DRC PRISONS
2014 ANNUAL REPORT

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March 2014

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Introduction

This annual report details serious misconduct trends in the Ohio state prison system from 2007 to 2013. The data and analysis are part of a larger body of work surrounding the support of correctional policies and violence reduction strategies in our institutions. This information is broken down into meaningful prison sub-categories (like prison security level and open dorm facilities) to help monitor trends in violence according to differences in operational mission. A more targeted description of serious misconduct during the last three years is also undertaken at the prison-level.

This report also serves as an important source of reliable data and information for the media, scholars, and outside agencies seeking violence and assault trends within our prisons. Prison misconduct is captured using Rules Infraction Board (RIB) data. This data source is widely used throughout the agency from an operational perspective. For instance, this information has provided the empirical basis for the development of objective security classification instruments for male inmates, supported the revision of the profile for security threat group (STG) inmates, provided state-level comparisons in the Performance-Based Measures System (PBMS) reporting system, and helped facilitate analysis of inmate-level and prison-level violence and other misconduct.

Rules Infraction Board Data

The data below is based on system-wide, prison-level, and categorical rule infraction data generated at the Rules Infraction Board (RIB) from January 1, 2007 through December 31, 2013. **The level of analysis is at the rule infraction-level.** As a result, this information is not the total amount of incidents per se (since multiple rule infractions can make up one incident), but rather the counts of all rule infractions found guilty by the RIB. Please be aware that the availability of RIB data for any particular time period is always contingent upon a one month lag period to allow for the completion of disciplinary hearings, any possible appeals, and due process. For example, misbehavior information for March of 2014 would not be available for analysis until after the first few weeks of May of 2014.

Inmate Serious Misconduct Measures

In the tables that follow, serious rule infractions are expressed in rates per 1,000 inmates. The use of rates is a conventional method that is appropriate when comparing categories with different sized populations. The population data used to compute these rates consists of an average of 26 official Monday counts (or every 2 weeks). Please note that some tables display both rates and counts of rule infractions.

We advance multiple and sophisticated categories of violence and assaults in order to be sensitive to different types of behavior. Definitions of violence that include more routine types of fighting can somewhat obscure more serious types of assaultive (and related) behaviors due to the relative frequency of fighting rule infractions in DRC institutions. For instance, fighting rule violations accounts for 72.8% of violent rule infractions in 2013 (see definitions below). As such, we present information on several additional outcome measures.

First, our definition of *violent rule infractions* includes physical assaults, sexual assaults, rioting, fights, throwing liquids/substances, and physical harassment (see Table 2 for the complete list of particular rule violations that make up this measure).

Our definition of *physical/sexual assault rule infractions* provide a baseline measure of serious violence and include both physical assaults and sexual assaults (see Table 3 for the complete list of particular rule violations that make up this measure).

Our measure of *harassment assault rule infractions* involves throwing bodily substances or liquids onto others, and physical harassment (i.e., intentional grabbing to impede movement, see Table 4 for the complete list of particular rule violations that make up this measure).

We also look at two specific rule infractions from the Inmate Rules of Conduct (5120-9-06). We separately analyze *Rule 17 rule infractions* involving engaging in unauthorized group activities, which includes most active and disruptive security threat group participation. We also independently assess *Rule 19 rule infractions* including fights with or without weapons.

System-wide and Prison Categorical Violence and Assault Trends

Table 1 (see page 3) displays the overall violent, physical/sexual assault, and harassment assault rule infraction rates over the last seven calendar years. These rates are also observed in terms of meaningful subcategories of prison context. Please note that female inmates are analyzed as an entire population (and not by prison context).

Table 1 indicates a steady increase in violence and assaults from 2007 to 2011. However, we started to see some improvement across all outcome measures moving into 2012. A modest downward trend to varying degrees continued system-wide across all serious misconduct outcome measures during 2013. The reductions in violence and assaults over the last few years are especially apparent at Level 1/2 security male institutions, and Level 1/2 security male open dorm facilities. In contrast, more recent increases in violence and assaults are observed at our Level 3, Level 4, and Level 5 security male institutions.

Some important observations:

*We see a 7.8% increase in the overall violent rule infraction rate from 2010 to 2011. However, we see a 7.4% decrease in the overall violent rule infraction rate from 2011 to 2012, and a 3.4% decrease in the overall violent rule infraction rate from 2012 to 2013.

*The violent rule infraction rate has also decreased from 2012 to 2013 for Level 1/2 security male institutions (7.2% lower), Level 1/2 security male open dorm facilities (10.7% lower), male reception centers (16.1% lower), and for female inmates as a whole (8.1% lower).

Table 1. Violent and Assaultive Rule Infraction Rates, 2007-2013. *

| Rule Infraction Type | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 |
|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Violent Rule Infraction Rate | 157.09 | 167.00 | 217.89 | 213.56 | 230.22 | 213.20 | 206.03 |
| by Level 1 and 2 Security (Males) ¹ | 146.75 | 145.26 | 179.91 | 184.56 | 194.32 | 169.98 | 157.76 |
| by Level 1 and 2 Security Open Dorm (Males) ² | 160.22 | 154.15 | 191.47 | 202.51 | 223.14 | 196.42 | 175.46 |
| by Level 3 Security (Males) ³ | 160.78 | 174.24 | 254.97 | 244.65 | 275.98 | 289.50 | 306.44 |
| by Level 4 and 5 Security (Males) ⁴ | 415.42 | 544.07 | 608.98 | 459.08 | 553.97 | 484.86 | 515.80 |
| by Reception Centers (Males) ⁵ | 167.52 | 177.10 | 258.13 | 250.40 | 260.46 | 247.27 | 207.56 |
| by Female Inmates (across several institutions) | 89.83 | 115.46 | 186.64 | 199.04 | 200.18 | 177.92 | 163.58 |
| Physical/Sexual Assault Rule Infraction Rate | 25.06 | 26.29 | 32.55 | 30.86 | 36.24 | 36.71 | 35.54 |
| by Level 1 and 2 Security (Males) ¹ | 20.32 | 22.49 | 28.79 | 30.39 | 33.38 | 30.35 | 27.05 |
| by Level 1 and 2 Security Open Dorm (Males) ² | 21.57 | 23.30 | 31.85 | 35.89 | 39.40 | 35.67 | 30.35 |
| by Level 3 Security (Males) ³ | 25.09 | 25.55 | 33.57 | 30.87 | 39.04 | 52.62 | 56.67 |
| by Level 4 and 5 Security (Males) ⁴ | 133.81 | 128.31 | 126.16 | 67.68 | 108.21 | 87.97 | 102.93 |
| by Reception Centers (Males) ⁵ | 20.80 | 21.86 | 26.82 | 26.42 | 19.49 | 28.74 | 22.82 |
| by Female Inmates (across several institutions) | 12.61 | 11.49 | 20.51 | 21.86 | 30.04 | 22.34 | 23.04 |
| Harassment Assault Rule Infraction Rate | 18.35 | 21.58 | 21.30 | 18.99 | 21.95 | 24.32 | 20.47 |
| by Level 1 and 2 Security (Males) ¹ | 14.44 | 11.69 | 10.08 | 11.95 | 13.09 | 12.55 | 12.09 |
| by Level 1 and 2 Security Open Dorm (Males) ² | 12.42 | 10.80 | 10.07 | 12.70 | 13.83 | 13.43 | 12.66 |
| by Level 3 Security (Males) ³ | 11.00 | 11.58 | 15.80 | 16.79 | 15.15 | 25.86 | 22.47 |
| by Level 4 and 5 Security (Males) ⁴ | 144.70 | 265.21 | 260.31 | 161.61 | 221.39 | 233.01 | 174.76 |
| by Reception Centers (Males) ⁵ | 15.94 | 15.13 | 16.44 | 9.61 | 15.59 | 15.47 | 14.38 |
| by Female Inmates (across several institutions) | 9.19 | 8.10 | 14.36 | 16.59 | 13.98 | 14.45 | 13.67 |

* Rate of RIB rule infractions per 1,000 inmates. Please note that rates are rounded to two decimal places.

¹ AOCI, BeCI, CCI, GCI, LaeCI, LoCI, MaCI, MCI, NCI, NCCC, PCI, RiCI, and SCI.

² BeCI, CCI, LaeCI, LoCI, NCI, NCCC, PCI, RiCI, and SCI.

³ LeCI, ManCI, RCI, ToCI, TCI, and WCI.

⁴ OSP and SOCF.

⁵ CRC and LorCI.

*Alternatively, the violent rule infraction rate has increased 5.9% for Level 3 security male institutions and increased 6.4% for Level 4 and 5 security male institutions over the last two years.

*The physical/sexual assault rule infraction rate has decreased 3.2% system-wide from 2012 to 2013. However, we see increases during this same time period at Level 3 security male institutions (7.7% higher), Level 4 and 5 security male institutions (17.0% higher), and among our female inmate population (3.1% higher).

*The harassment assault rule infraction rate has decreased system-wide by almost 16% from 2012 to 2013, and these reductions are seen across all prison sub-categories.

Prison-Level Violence and Assault Trends

Table 2 (violent rule infractions, see page 5), Table 3 (physical/sexual assault rule infractions, see page 6), and Table 4 (harassment assault rule infractions, see page 7) disaggregates the serious misconduct information in Table 1 by institution and offers percent change comparisons between misconduct rates from 2011 and 2012, and misconduct rates from 2012 and 2013. These particular tables also list the annual raw counts of serious misbehavior and average population data.

Please note that it is important to exhibit some caution for several reasons when comparing percent changes both within and across institutions. First, please keep in mind that changes between rates with small baseline counts can produce very large percent change values. For instance, please see the percent change for physical/sexual assault rule infraction rates for NERC and the percent change for harassment assault rule infraction rates for FMC.

Next, it is critical to recognize the operational mission and inmate composition of each prison. For instance, we may expect to see more harassment assaults at higher level security institutions. Further, higher level security institutions contain more inmates with violent and disruptive prison misconduct histories.

Finally, it is important to note that some facilities have separate compounds and the data has been merged to reflect operational mission/functioning and to provide a standardized basis for comparison. These prisons include AOCI (both ACI and OCF), FMC (both CMC and FPRC), GCI (GCI and NCCTF), and SCI (both HCF and SCI).

Please also observe that percentage change comparisons for DCI from 2011 to 2012 are not appropriate because the institution transitioned from male inmates to female inmates in 2011.

Some important observations:

*It is somewhat difficult to make broader generalizations regarding violence and assaults at this level of analysis due to the reasons listed above (i.e., different inmate compositions) and the variation in the data at the prison-level.

Table 2. Violent Rule Infraction Rate Percent Change by Institution. *

| Prison ¹ | 2011 | | | 2012 | | | 2013 | | | Percent Change | |
|---------------------|-------|----------|---------------|-------|----------|---------------|-------|----------|---------------|----------------|----------|
| | n | pop | rate | n | pop | rate | n | pop | rate | 11 to 12 | 12 to 13 |
| AOCI | 108 | 1533.31 | 70.44 | 163 | 1585.38 | 102.81 | 186 | 1591.12 | 116.90 | 45.97 | 13.70 |
| BeCI | 660 | 2622.62 | 251.66 | 537 | 2672.96 | 200.90 | 528 | 2717.19 | 194.32 | -20.17 | -3.28 |
| CCI | 450 | 2864.96 | 157.07 | 319 | 2642.50 | 120.72 | 349 | 2762.46 | 126.34 | -23.14 | 4.65 |
| CRC | 336 | 1577.19 | 213.04 | 387 | 1724.46 | 224.42 | 345 | 1790.15 | 192.72 | 5.34 | -14.12 |
| DCI | 98 | 760.81 | 128.81 | 228 | 867.92 | 262.70 | 237 | 878.42 | 269.80 | NA | 2.70 |
| FMC | 32 | 537.15 | 59.57 | 36 | 533.42 | 67.49 | 21 | 452.23 | 46.44 | 13.29 | -31.19 |
| GCI | 150 | 2173.62 | 69.01 | 78 | 1896.19 | 41.14 | 100 | 1977.50 | 50.57 | -40.39 | 22.93 |
| LaeCI | 227 | 1493.00 | 152.04 | 338 | 1701.15 | 198.69 | 322 | 1764.31 | 182.51 | 30.68 | -8.14 |
| LeCI | 1177 | 2783.35 | 422.87 | 948 | 2560.27 | 370.27 | 895 | 2585.69 | 346.14 | -12.44 | -6.52 |
| LoCI | 302 | 2329.88 | 129.62 | 271 | 2245.85 | 120.67 | 318 | 2288.81 | 138.94 | -6.91 | 15.14 |
| LorCI | 466 | 1502.00 | 310.25 | 396 | 1442.08 | 274.60 | 319 | 1408.88 | 226.42 | -11.49 | -17.55 |
| MaCI | 670 | 2352.85 | 284.76 | 512 | 2319.23 | 220.76 | 336 | 2320.27 | 144.81 | -22.47 | -34.40 |
| ManCI | 600 | 2502.58 | 239.75 | 533 | 2466.46 | 216.10 | 589 | 2497.50 | 235.84 | -9.87 | 9.13 |
| MCI | 252 | 2543.88 | 99.06 | 207 | 2588.50 | 79.97 | 349 | 2592.19 | 134.64 | -19.27 | 68.36 |
| NCCC | 395 | 2297.46 | 171.93 | 281 | 2572.46 | 109.23 | 338 | 2668.73 | 126.65 | -36.47 | 15.95 |
| NCI | 906 | 2434.04 | 372.22 | 733 | 2454.96 | 298.58 | 745 | 2506.00 | 297.29 | -19.78 | -0.43 |
| NERC | 62 | 527.69 | 117.49 | 65 | 540.42 | 120.28 | 50 | 574.35 | 87.06 | 2.37 | -27.62 |
| ORW | 615 | 2640.35 | 232.92 | 381 | 2314.42 | 164.62 | 357 | 2407.81 | 148.27 | -29.32 | -9.93 |
| OSP | 130 | 602.15 | 215.89 | 92 | 584.12 | 157.50 | 132 | 463.04 | 285.07 | -27.05 | 81.00 |
| PCI | 264 | 2143.69 | 123.15 | 327 | 2172.42 | 150.52 | 261 | 2122.12 | 122.99 | 22.23 | -18.29 |
| RCI | 397 | 2322.35 | 170.95 | 443 | 2104.81 | 210.47 | 641 | 2039.73 | 314.26 | 23.12 | 49.31 |
| RiCI | 630 | 2513.00 | 250.70 | 477 | 2520.92 | 189.22 | 275 | 2503.69 | 109.84 | -24.52 | -41.95 |
| SCI | 685 | 2025.31 | 338.22 | 753 | 2018.96 | 372.96 | 605 | 2053.31 | 294.65 | 10.27 | -21.00 |
| SOCF | 986 | 1412.38 | 698.11 | 834 | 1325.69 | 629.11 | 780 | 1305.08 | 597.67 | -9.88 | -5.00 |
| TCI | 304 | 1188.96 | 255.69 | 403 | 1075.27 | 374.79 | 255 | 1294.92 | 196.92 | 46.58 | -47.46 |
| ToCI | 272 | 1358.77 | 200.18 | 372 | 1412.15 | 263.43 | 354 | 1251.85 | 282.78 | 31.59 | 7.35 |
| WCI | 438 | 1395.42 | 313.88 | 492 | 1403.42 | 350.57 | 662 | 1412.38 | 468.71 | 11.69 | 33.70 |
| Total | 11612 | 50438.77 | 230.22 | 10606 | 49746.42 | 213.20 | 10349 | 50229.73 | 206.03 | -7.39 | -3.36 |

* Rate of violent RIB rule infractions per 1,000 inmates (Rule 1, Rule 2, Rule 3, Rule 4, Rule 5, Rule 6, Rule 7, Rule 11, Rule 12, Rule 15, Rule 19, and Rule 25). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.

Table 3. Physical/Sexual Assault Rule Infraction Rate Percent Change by Institution. *

| Prison ¹ | 2011 | | | 2012 | | | 2013 | | | Percent Change | |
|---------------------|------|----------|---------------|------|----------|---------------|------|----------|---------------|----------------|----------|
| | n | pop | rate | n | pop | rate | n | pop | rate | 11 to 12 | 12 to 13 |
| AOCI | 34 | 1533.31 | 22.17 | 52 | 1585.38 | 32.80 | 52 | 1591.12 | 32.68 | 47.92 | -0.36 |
| BeCI | 128 | 2622.62 | 48.81 | 79 | 2672.96 | 29.56 | 74 | 2717.19 | 27.23 | -39.44 | -7.85 |
| CCI | 32 | 2864.96 | 11.17 | 24 | 2642.50 | 9.08 | 20 | 2762.46 | 7.24 | -18.69 | -20.29 |
| CRC | 49 | 1577.19 | 31.07 | 66 | 1724.46 | 38.27 | 53 | 1790.15 | 29.61 | 23.19 | -22.64 |
| DCI | 19 | 760.81 | 24.97 | 35 | 867.92 | 40.33 | 35 | 878.42 | 39.84 | NA | -1.20 |
| FMC | 4 | 537.15 | 7.45 | 11 | 533.42 | 20.62 | 3 | 452.23 | 6.63 | 176.92 | -67.83 |
| GCI | 21 | 2173.62 | 9.66 | 8 | 1896.19 | 4.22 | 8 | 1977.50 | 4.05 | -56.33 | -4.11 |
| LaeCI | 42 | 1493.00 | 28.13 | 81 | 1701.15 | 47.61 | 77 | 1764.31 | 43.64 | 69.26 | -8.34 |
| LeCI | 157 | 2783.35 | 56.41 | 129 | 2560.27 | 50.39 | 156 | 2585.69 | 60.33 | -10.68 | 19.74 |
| LoCI | 34 | 2329.88 | 14.59 | 27 | 2245.85 | 12.02 | 35 | 2288.81 | 15.29 | -17.62 | 27.20 |
| LorCI | 11 | 1502.00 | 7.32 | 25 | 1442.08 | 17.34 | 20 | 1408.88 | 14.20 | 136.72 | -18.12 |
| MaCI | 83 | 2352.85 | 35.28 | 58 | 2319.23 | 25.01 | 23 | 2320.27 | 9.91 | -29.11 | -60.36 |
| ManCI | 92 | 2502.58 | 36.76 | 128 | 2466.46 | 51.90 | 154 | 2497.50 | 61.66 | 41.17 | 18.82 |
| MCI | 43 | 2543.88 | 16.90 | 41 | 2588.50 | 15.84 | 78 | 2592.19 | 30.09 | -6.29 | 89.97 |
| NCCC | 63 | 2297.46 | 27.42 | 79 | 2572.46 | 30.71 | 92 | 2668.73 | 34.47 | 11.99 | 12.25 |
| NCI | 209 | 2434.04 | 85.87 | 162 | 2454.96 | 65.99 | 175 | 2506.00 | 69.83 | -23.15 | 5.82 |
| NERC | 5 | 527.69 | 9.48 | 1 | 540.42 | 1.85 | 4 | 574.35 | 6.96 | -80.47 | 276.37 |
| ORW | 92 | 2640.35 | 34.84 | 48 | 2314.42 | 20.74 | 52 | 2407.81 | 21.60 | -40.48 | 4.13 |
| OSP | 36 | 602.15 | 59.79 | 26 | 584.12 | 44.51 | 44 | 463.04 | 95.02 | -25.55 | 113.48 |
| PCI | 36 | 2143.69 | 16.79 | 52 | 2172.42 | 23.94 | 39 | 2122.12 | 18.38 | 42.53 | -23.22 |
| RCI | 46 | 2322.35 | 19.81 | 53 | 2104.81 | 25.18 | 93 | 2039.73 | 45.59 | 27.13 | 81.07 |
| RiCI | 155 | 2513.00 | 61.68 | 93 | 2520.92 | 36.89 | 38 | 2503.69 | 15.18 | -40.19 | -58.86 |
| SCI | 99 | 2025.31 | 48.88 | 136 | 2018.96 | 67.36 | 97 | 2053.31 | 47.24 | 37.81 | -29.87 |
| SOCF | 182 | 1412.38 | 128.86 | 142 | 1325.69 | 107.11 | 138 | 1305.08 | 105.74 | -16.88 | -1.28 |
| TCI | 52 | 1188.96 | 43.74 | 60 | 1075.27 | 55.80 | 38 | 1294.92 | 29.35 | 27.58 | -47.41 |
| ToCI | 51 | 1358.77 | 37.53 | 104 | 1412.15 | 73.65 | 92 | 1251.85 | 73.49 | 96.21 | -0.21 |
| WCI | 53 | 1395.42 | 37.98 | 106 | 1403.42 | 75.53 | 95 | 1412.38 | 67.26 | 98.86 | -10.95 |
| Total | 1828 | 50438.77 | 36.24 | 1826 | 49746.42 | 36.71 | 1785 | 50229.73 | 35.54 | 1.28 | -3.19 |

* Rate of physical/sexual assault RIB rule infractions per 1,000 inmates (Rule 1, Rule 3, Rule 4, Rule 5, Rule 11, and Rule 12). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.

Table 4. Harassment Assault Rule Infraction Rate Percent Change by Institution. *

| Prison ¹ | 2011 | | | 2012 | | | 2013 | | | Percent Change | |
|---------------------|------|----------|---------------|------|----------|---------------|------|----------|---------------|----------------|----------|
| | n | pop | rate | n | pop | rate | n | pop | rate | 11 to 12 | 12 to 13 |
| AOCI | 9 | 1533.31 | 5.87 | 14 | 1585.38 | 8.83 | 35 | 1591.12 | 22.00 | 50.45 | 149.10 |
| BeCI | 47 | 2622.62 | 17.92 | 18 | 2672.96 | 6.73 | 24 | 2717.19 | 8.83 | -62.42 | 31.16 |
| CCI | 35 | 2864.96 | 12.22 | 19 | 2642.50 | 7.19 | 33 | 2762.46 | 11.95 | -41.14 | 66.14 |
| CRC | 18 | 1577.19 | 11.41 | 24 | 1724.46 | 13.92 | 19 | 1790.15 | 10.61 | 21.95 | -23.74 |
| DCI | 2 | 760.81 | 2.63 | 20 | 867.92 | 23.04 | 32 | 878.42 | 36.43 | NA | 58.09 |
| FMC | 2 | 537.15 | 3.72 | 7 | 533.42 | 13.12 | 9 | 452.23 | 19.90 | 252.45 | 51.65 |
| GCI | 4 | 2173.62 | 1.84 | 7 | 1896.19 | 3.69 | 6 | 1977.50 | 3.03 | 100.60 | -17.81 |
| LaeCI | 43 | 1493.00 | 28.80 | 40 | 1701.15 | 23.51 | 34 | 1764.31 | 19.27 | -18.36 | -18.04 |
| LeCI | 52 | 2783.35 | 18.68 | 54 | 2560.27 | 21.09 | 38 | 2585.69 | 14.70 | 12.89 | -30.32 |
| LoCI | 12 | 2329.88 | 5.15 | 7 | 2245.85 | 3.12 | 7 | 2288.81 | 3.06 | -39.48 | -1.88 |
| LorCI | 30 | 1502.00 | 19.97 | 25 | 1442.08 | 17.34 | 27 | 1408.88 | 19.16 | -13.20 | 10.54 |
| MaCI | 63 | 2352.85 | 26.78 | 52 | 2319.23 | 22.42 | 13 | 2320.27 | 5.60 | -16.26 | -75.01 |
| ManCI | 13 | 2502.58 | 5.19 | 58 | 2466.46 | 23.52 | 44 | 2497.50 | 17.62 | 352.69 | -25.08 |
| MCI | 28 | 2543.88 | 11.01 | 20 | 2588.50 | 7.73 | 37 | 2592.19 | 14.27 | -29.80 | 84.74 |
| NCCC | 25 | 2297.46 | 10.88 | 32 | 2572.46 | 12.44 | 51 | 2668.73 | 19.11 | 14.32 | 53.63 |
| NCI | 39 | 2434.04 | 16.02 | 29 | 2454.96 | 11.81 | 40 | 2506.00 | 15.96 | -26.27 | 35.12 |
| NERC | 2 | 527.69 | 3.79 | 1 | 540.42 | 1.85 | 4 | 574.35 | 6.96 | -51.18 | 276.37 |
| ORW | 48 | 2640.35 | 18.18 | 34 | 2314.42 | 14.69 | 18 | 2407.81 | 7.48 | -19.19 | -49.11 |
| OSP | 29 | 602.15 | 48.16 | 30 | 584.12 | 51.36 | 67 | 463.04 | 144.70 | 6.64 | 181.73 |
| PCI | 17 | 2143.69 | 7.93 | 21 | 2172.42 | 9.67 | 30 | 2122.12 | 14.14 | 21.90 | 46.24 |
| RCI | 19 | 2322.35 | 8.18 | 26 | 2104.81 | 12.35 | 41 | 2039.73 | 20.10 | 50.99 | 62.72 |
| RiCI | 19 | 2513.00 | 7.56 | 19 | 2520.92 | 7.54 | 12 | 2503.69 | 4.79 | -0.31 | -36.41 |
| SCI | 43 | 2025.31 | 21.23 | 91 | 2018.96 | 45.07 | 39 | 2053.31 | 18.99 | 112.29 | -57.86 |
| SOCF | 417 | 1412.38 | 295.25 | 415 | 1325.69 | 313.04 | 242 | 1305.08 | 185.43 | 6.03 | -40.77 |
| TCI | 63 | 1188.96 | 52.99 | 69 | 1075.27 | 64.17 | 14 | 1294.92 | 10.81 | 21.10 | -83.15 |
| ToCI | 20 | 1358.77 | 14.72 | 56 | 1412.15 | 39.66 | 85 | 1251.85 | 67.90 | 169.41 | 71.22 |
| WCI | 8 | 1395.42 | 5.73 | 22 | 1403.42 | 15.68 | 27 | 1412.38 | 19.12 | 173.43 | 21.95 |
| Total | 1107 | 50438.77 | 21.95 | 1210 | 49746.42 | 24.32 | 1028 | 50229.73 | 20.47 | 10.83 | -15.86 |

* Rate of harassment assault RIB rule infractions per 1,000 inmates (Rule 6, Rule 7, and Rule 25). The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.

*Over half of DRC institutions reduced their violent rule infraction rate from 2012 to 2013 with the majority of these facilities being Level 1/2 security male institutions and Level 1/2 security male open dorm facilities (although some exceptions exist).

*Both male reception centers (CRC and LorCI) and two of three female facilities (NERC and ORW) reduced their violent rule infraction rate from 2012 to 2013.

*Over 60% of DRC institutions reduced their physical/sexual assault rule infraction rate from 2012 to 2013. Although exceptions exist, the majority of these facilities are again Level 1/2 security male institutions and Level 1/2 security male open dorm facilities.

*Half of the Level 3 security male institutions and both male reception centers reduced their physical/sexual assault rule infraction rate over the last two years.

*Over 40% of DRC institutions reduced their harassment assault rule infraction rate from 2012 to 2013. SOCF, which has the highest count and rate of harassment assaults over the last three years, lowered their harassment assault rule infraction rate by 40.8 percent from 2012 to 2013.

System-wide and Prison Categorical Rule 17 and Rule 19 Trends

Table 5 (see page 9) displays the overall Rule 17 (engaging in unauthorized group activities) and Rule 19 (fighting) infraction rates for the last seven calendar years. As before, this information is also broken down by meaningful subcategories of prison context, and female inmates are analyzed as an entire population.

Some important observations:

*Table 5 indicates an increase in fighting from 2007 to 2011. Reductions in the rate of overall fighting and across all measures of prison context are seen when comparing 2011 and 2012, and further reductions are evident system-wide, at Level 1/2 security male institutions, at Level 1/2 security male open dorm facilities, at male reception centers, and for female inmates as a whole moving into 2013.

*We see increases in the rate of fighting at Level 3 security male institutions and Level 4 and 5 security male institutions from 2012 to 2013.

*Table 5 indicates large increases in Rule 17 violations over the last three or four years. However, this trend seems to have stabilized moving into 2013.

*Security threat group participation has increased over the last seven years in DRC prisons. STG inmates made up 13.8 percent of the DRC prison population at the start of 2007 and grew to 16.2 percent at the start of 2014. However, the increase in the rate of Rule 17 infractions has also been greatly impacted by a revised profiling system for STG offenders established in early 2012, which requires all documented active or disruptive gang activity to include a Rule 17 violation.

Table 5. Rule 17 and Rule 19 Infraction Rates, 2007-2013. *

| Rule Infraction Type | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 |
|--|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| Rule 17 Infraction Rate | 7.11 | 5.24 | 8.66 | 11.03 | 20.42 | 24.30 | 23.95 |
| by Level 1 and 2 Security (Males) ¹ | 7.64 | 4.70 | 9.30 | 13.08 | 21.62 | 18.47 | 18.98 |
| by Level 1 and 2 Security Open Dorm (Males) ² | 8.91 | 6.01 | 11.52 | 16.85 | 29.58 | 24.04 | 23.17 |
| by Level 3 Security (Males) ³ | 9.18 | 7.60 | 12.10 | 10.94 | 23.37 | 44.18 | 41.15 |
| by Level 4 and 5 Security (Males) ⁴ | 10.37 | 7.07 | 3.19 | 6.06 | 39.21 | 42.41 | 55.99 |
| by Reception Centers (Males) ⁵ | 2.97 | 7.57 | 5.48 | 9.91 | 14.29 | 29.69 | 23.76 |
| by Female Inmates (across several institutions) | 0.26 | 0.00 | 0.26 | 0.25 | 0.78 | 0.79 | 1.27 |
| Rule 19 Infraction Rate | 113.37 | 118.99 | 163.78 | 163.47 | 171.71 | 151.79 | 149.89 |
| by Level 1 and 2 Security (Males) ¹ | 111.79 | 111.01 | 141.00 | 142.08 | 147.40 | 126.81 | 118.56 |
| by Level 1 and 2 Security Open Dorm (Males) ² | 126.03 | 120.00 | 149.56 | 153.82 | 169.32 | 146.98 | 132.41 |
| by Level 3 Security (Males) ³ | 124.25 | 136.84 | 205.60 | 196.49 | 221.53 | 210.12 | 226.94 |
| by Level 4 and 5 Security (Males) ⁴ | 135.88 | 150.03 | 216.13 | 228.78 | 224.37 | 163.37 | 237.54 |
| by Reception Centers (Males) ⁵ | 130.23 | 139.83 | 214.87 | 214.37 | 225.38 | 203.06 | 170.36 |
| by Female Inmates (across several institutions) | 68.03 | 95.87 | 151.77 | 160.59 | 156.15 | 141.12 | 126.86 |

* Rate of rule infractions per 1,000 inmates. Please note that rates are rounded to two decimal places.

¹ AOCI, BeCI, CCI, GCI, LaeCI, LoCI, MaCI, MCI, NCI, NCCC, PCI, RiCI, and SCI.

² BeCI, CCI, LaeCI, LoCI, NCI, NCCC, PCI, RiCI, and SCI.

³ LeCI, ManCI, RCI, ToCI, TCI, and WCI.

⁴ OSP and SOCF.

⁵ CRC and LorCI.

Prison-Level Rule 17 and Rule 19 Trends

Table 6 (Rule 17 infractions, see page 11) and Table 7 (Rule 19 infractions, see page 12) disaggregate the information from Table 5 by institution and again offer raw counts of rule infractions, average population data, and percent change comparisons between 2011 and 2012, and 2012 and 2013.

Please observe caution when comparing percent changes both within and across institutions due to the aforementioned data and interpretational issues.

Some important observations:

*Again, it is somewhat difficult to make broader generalizations due to variation in inmate composition at the prison-level like housing larger amounts of violent and disruptive inmates.

*This challenge is especially relevant in terms of Rule 17 infractions where some prisons may have larger concentrations of STG-related inmates.

Table 6. Rule 17 Infraction Rate Percent Change by Institution. *

| Prison ¹ | 2011 | | | 2012 | | | 2013 | | | Percent Change | |
|---------------------|------|----------|--------------|------|----------|---------------|------|----------|---------------|----------------|----------|
| | n | pop | rate | n | pop | rate | n | pop | rate | 11 to 12 | 12 to 13 |
| AOCI | 2 | 1533.31 | 1.30 | 5 | 1585.38 | 3.15 | 6 | 1591.12 | 3.77 | 141.79 | 19.57 |
| BeCI | 105 | 2622.62 | 40.04 | 89 | 2672.96 | 33.30 | 74 | 2717.19 | 27.23 | -16.83 | -18.21 |
| CCI | 23 | 2864.96 | 8.03 | 8 | 2642.50 | 3.03 | 17 | 2762.46 | 6.15 | -62.29 | 103.27 |
| CRC | 15 | 1577.19 | 9.51 | 37 | 1724.46 | 21.46 | 22 | 1790.15 | 12.29 | 125.60 | -42.72 |
| DCI | 0 | 760.81 | 0.00 | 0 | 867.92 | 0.00 | 0 | 878.42 | 0.00 | NA | NA |
| FMC | 0 | 537.15 | 0.00 | 1 | 533.42 | 1.87 | 0 | 452.23 | 0.00 | NA | -100.00 |
| GCI | 4 | 2173.62 | 1.84 | 5 | 1896.19 | 2.64 | 4 | 1977.50 | 2.02 | 43.29 | -23.29 |
| LaeCI | 37 | 1493.00 | 24.78 | 26 | 1701.15 | 15.28 | 70 | 1764.31 | 39.68 | -38.33 | 159.59 |
| LeCI | 35 | 2783.35 | 12.57 | 49 | 2560.27 | 19.14 | 48 | 2585.69 | 18.56 | 52.20 | -3.00 |
| LoCI | 9 | 2329.88 | 3.86 | 9 | 2245.85 | 4.01 | 21 | 2288.81 | 9.18 | 3.74 | 128.95 |
| LorCI | 29 | 1502.00 | 19.31 | 57 | 1442.08 | 39.53 | 54 | 1408.88 | 38.33 | 104.72 | -3.03 |
| MaCI | 11 | 2352.85 | 4.68 | 28 | 2319.23 | 12.07 | 7 | 2320.27 | 3.02 | 158.23 | -75.01 |
| ManCI | 71 | 2502.58 | 28.37 | 104 | 2466.46 | 42.17 | 116 | 2497.50 | 46.45 | 48.62 | 10.15 |
| MCI | 18 | 2543.88 | 7.08 | 11 | 2588.50 | 4.25 | 56 | 2592.19 | 21.60 | -39.94 | 408.37 |
| NCCC | 87 | 2297.46 | 37.87 | 38 | 2572.46 | 14.77 | 64 | 2668.73 | 23.98 | -60.99 | 62.35 |
| NCI | 136 | 2434.04 | 55.87 | 129 | 2454.96 | 52.55 | 103 | 2506.00 | 41.10 | -5.96 | -21.78 |
| NERC | 0 | 527.69 | 0.00 | 1 | 540.42 | 1.85 | 0 | 574.35 | 0.00 | NA | -100.00 |
| ORW | 3 | 2640.35 | 1.14 | 2 | 2314.42 | 0.86 | 5 | 2407.81 | 2.08 | -23.95 | 140.30 |
| OSP | 11 | 602.15 | 18.27 | 71 | 584.12 | 121.55 | 74 | 463.04 | 159.81 | 565.39 | 31.48 |
| PCI | 22 | 2143.69 | 10.26 | 11 | 2172.42 | 5.06 | 7 | 2122.12 | 3.30 | -50.66 | -34.86 |
| RCI | 28 | 2322.35 | 12.06 | 66 | 2104.81 | 31.36 | 29 | 2039.73 | 14.22 | 160.08 | -54.66 |
| RiCI | 99 | 2513.00 | 39.40 | 66 | 2520.92 | 26.18 | 39 | 2503.69 | 15.58 | -33.54 | -40.50 |
| SCI | 81 | 2025.31 | 39.99 | 118 | 2018.96 | 58.45 | 99 | 2053.31 | 48.21 | 46.14 | -17.51 |
| SOCF | 68 | 1412.38 | 48.15 | 10 | 1325.69 | 7.54 | 25 | 1305.08 | 19.16 | -84.33 | 153.95 |
| TCI | 22 | 1188.96 | 18.50 | 106 | 1075.27 | 98.58 | 79 | 1294.92 | 61.01 | 432.76 | -38.11 |
| ToCI | 94 | 1358.77 | 69.18 | 103 | 1412.15 | 72.94 | 107 | 1251.85 | 85.47 | 5.43 | 17.19 |
| WCI | 20 | 1395.42 | 14.33 | 59 | 1403.42 | 42.04 | 77 | 1412.38 | 54.52 | 193.32 | 29.68 |
| Total | 1030 | 50438.77 | 20.42 | 1209 | 49746.42 | 24.30 | 1203 | 50229.73 | 23.95 | 19.01 | -1.45 |

* Rate of Rule 17 infractions per 1,000 inmates. The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.

Table 7. Rule 19 Infraction Rate Percent Change by Institution. *

| Prison ¹ | 2011 | | | 2012 | | | 2013 | | | Percent Change | |
|---------------------|------|----------|---------------|------|----------|---------------|------|----------|---------------|----------------|----------|
| | n | pop | rate | n | pop | rate | n | pop | rate | 11 to 12 | 12 to 13 |
| AOCI | 65 | 1533.31 | 42.39 | 97 | 1585.38 | 61.18 | 99 | 1591.12 | 62.22 | 44.33 | 1.69 |
| BeCI | 484 | 2622.62 | 184.55 | 440 | 2672.96 | 164.61 | 430 | 2717.19 | 158.25 | -10.80 | -3.86 |
| CCI | 383 | 2864.96 | 133.68 | 276 | 2642.50 | 104.45 | 296 | 2762.46 | 107.15 | -21.87 | 2.59 |
| CRC | 269 | 1577.19 | 170.56 | 297 | 1724.46 | 172.23 | 273 | 1790.15 | 152.50 | 0.98 | -11.45 |
| DCI | 77 | 760.81 | 101.21 | 173 | 867.92 | 199.33 | 170 | 878.42 | 193.53 | NA | -2.91 |
| FMC | 26 | 537.15 | 48.40 | 18 | 533.42 | 33.74 | 9 | 452.23 | 19.90 | -30.29 | -41.02 |
| GCI | 125 | 2173.62 | 57.51 | 63 | 1896.19 | 33.22 | 86 | 1977.50 | 43.49 | -42.23 | 30.90 |
| LaeCI | 136 | 1493.00 | 91.09 | 213 | 1701.15 | 125.21 | 211 | 1764.31 | 119.59 | 37.45 | -4.48 |
| LeCI | 968 | 2783.35 | 347.78 | 764 | 2560.27 | 298.41 | 701 | 2585.69 | 271.11 | -14.20 | -9.15 |
| LoCI | 253 | 2329.88 | 108.59 | 236 | 2245.85 | 105.08 | 276 | 2288.81 | 120.59 | -3.23 | 14.75 |
| LorCI | 425 | 1502.00 | 282.96 | 346 | 1442.08 | 239.93 | 272 | 1408.88 | 193.06 | -15.21 | -19.54 |
| MaCI | 524 | 2352.85 | 222.71 | 401 | 2319.23 | 172.90 | 299 | 2320.27 | 128.86 | -22.36 | -25.47 |
| ManCI | 495 | 2502.58 | 197.80 | 343 | 2466.46 | 139.07 | 389 | 2497.50 | 155.76 | -29.69 | 12.00 |
| MCI | 180 | 2543.88 | 70.76 | 146 | 2588.50 | 56.40 | 234 | 2592.19 | 90.27 | -20.29 | 60.05 |
| NCCC | 306 | 2297.46 | 133.19 | 170 | 2572.46 | 66.08 | 195 | 2668.73 | 73.07 | -50.38 | 10.57 |
| NCI | 658 | 2434.04 | 270.33 | 542 | 2454.96 | 220.78 | 530 | 2506.00 | 211.49 | -18.33 | -4.21 |
| NERC | 55 | 527.69 | 104.23 | 63 | 540.42 | 116.58 | 42 | 574.35 | 73.13 | 11.85 | -37.27 |
| ORW | 475 | 2640.35 | 179.90 | 299 | 2314.42 | 129.19 | 287 | 2407.81 | 119.20 | -28.19 | -7.74 |
| OSP | 65 | 602.15 | 107.95 | 36 | 584.12 | 61.63 | 21 | 463.04 | 45.35 | -42.91 | -26.41 |
| PCI | 211 | 2143.69 | 98.43 | 254 | 2172.42 | 116.92 | 192 | 2122.12 | 90.48 | 18.79 | -22.62 |
| RCI | 332 | 2322.35 | 142.96 | 363 | 2104.81 | 172.46 | 506 | 2039.73 | 248.07 | 20.64 | 43.84 |
| RiCI | 455 | 2513.00 | 181.06 | 364 | 2520.92 | 144.39 | 225 | 2503.69 | 89.87 | -20.25 | -37.76 |
| SCI | 543 | 2025.31 | 268.11 | 525 | 2018.96 | 260.03 | 468 | 2053.31 | 227.92 | -3.01 | -12.35 |
| SOCF | 387 | 1412.38 | 274.00 | 276 | 1325.69 | 208.19 | 399 | 1305.08 | 305.73 | -24.02 | 46.85 |
| TCI | 189 | 1188.96 | 158.96 | 274 | 1075.27 | 254.82 | 202 | 1294.92 | 155.99 | 60.30 | -38.78 |
| ToCI | 198 | 1358.77 | 145.72 | 212 | 1412.15 | 150.13 | 177 | 1251.85 | 141.39 | 3.02 | -5.82 |
| WCI | 377 | 1395.42 | 270.17 | 360 | 1403.42 | 256.52 | 540 | 1412.38 | 382.33 | -5.05 | 49.05 |
| Total | 8661 | 50438.77 | 171.71 | 7551 | 49746.42 | 151.79 | 7529 | 50229.73 | 149.89 | -11.60 | -1.25 |

* Rate of Rule 19 infractions per 1,000 inmates. The average population consists of an average of 26 official Monday counts (every 2 weeks). Please note that the population, rate, and percent change cell values are all rounded to two decimal places.

¹ No percent change comparison is made for DCI from 2011 to 2012 since the institution transitioned from male to female inmates in 2011.